## **APPENDIX D**

(VERSION OF CLAIMS AS AMENDED HEREIN WITH MARKINGS TO SHOW CHANGES MADE)

(Serial No. 09/973,557)

## VERSION OF CLAIMS WITH MARKINGS TO SHOW CHANGES MADE

- (Amended) An apparatus for performing a specific binding assay, the apparatus comprising:
- a composite waveguide comprising:
  - a substrate comprising a first optical material of refractive index n<sub>1</sub> and having a first planar surface and an opposite second surface separated by a thickness and a surrounding edge, and
  - a waveguide film comprising a second optical material having a refractive index n<sub>2</sub> which is greater than <u>refractive index</u> n<sub>1</sub>, said waveguide film disposed on said first planar surface of said substrate;
- capture molecules, associated with said waveguide film, for interacting selectively with at least one type of selected analyte molecule;
- a light source operably disposed to direct a light beam into said composite waveguide for propagation by total internal reflection therein; and
- a light detection device positioned in a cone of collection angles, said cone of collection angles having an axis oriented substantially orthogonal to a plane of said waveguide film.
- 2. (Amended) The apparatus of claim 1, wherein said light detection device is positioned to detect light passing through said opposite second surface of said substrate of said composite waveguide.
- 11. (Amended) The apparatus of claim 9, wherein said input waveguide comprises an optical material having a refractive index n<sub>3</sub> and[ has] a thickness of between about 0.5 mm and about 5 mm.
- 12. (Amended) The apparatus of claim 11, wherein said <u>precise</u> spacing layer comprises an optical material having a refractive index  $n_4$ , where  $n_4 < n_2$  and  $n_4 < n_3$ , said <u>precise</u> spacing layer having a thickness selected to optimize evanescent coupling of light from said input waveguide into said waveguide film.

- 18. (Amended) The apparatus of claim 1, wherein said light [detector] detection device comprises a charge-coupled device.
- 20. (Amended) The apparatus of claim 19, wherein said sample reservoir contains a sample solution comprising a plurality of molecules of a selected analyte[,] and a plurality of tracer molecules, said tracer molecules being activated by evanescent light escaping from said waveguide film into said sample solution.
- 22. (Amended) The apparatus of claim 21, wherein <u>said</u> different types of said capture molecules are positioned at discrete locations from one another on a surface of said waveguide film.
- 26. (Amended) An apparatus for performing specific binding assays, the apparatus comprising:
- a light source providing light of a first wavelength;
- a composite waveguide comprising a substrate having a first planar surface and an opposite second surface, said substrate comprising a first optical material of refractive index n<sub>1</sub>, and a waveguide film disposed on said first planar surface, said waveguide film comprising a second optical material of refractive index n<sub>2</sub> and including a first surface with a plurality of capture molecules secured thereto, each capture molecule of said plurality of capture molecules having a binding site which selectively binds a corresponding, selected analyte, said waveguide film also including a second surface adjacent to said first planar surface of said substrate; and
- a light detector positioned to detect light of a second wavelength emitted through at least said waveguide film, said first and second wavelengths differing from one another.
- 30. (Amended) The apparatus of claim 29, wherein said light detector is positioned to detect light of second said wavelength emitted through said at least one window.

- 41. (Amended) The apparatus of claim 39, wherein said input waveguide comprises an optical material having a refractive index n<sub>3</sub> and[ has] a thickness of between about 0.5 mm and about 5 mm.
- 42. (Amended) The apparatus of claim 41, wherein said <u>precise</u> spacing layer comprises an optical material having a refractive index  $n_4$ , where  $n_4 < n_2$  and  $n_4 < n_3$ , and said <u>precise</u> spacing layer has a thickness selected to optimize evanescent coupling of light from said input waveguide into said waveguide film.
- 48. (Amended) The apparatus of claim 47, wherein said different types of said capture molecules are positioned at discrete locations from one another on [a]said first surface of said waveguide film.
  - 52. (Amended) A composite waveguide comprising:
- a substrate comprising a first optical material of refractive index n<sub>1</sub> and having a first planar surface and an opposite second surface separated by a thickness and a surrounding edge;
- a waveguide film comprising a second optical material having a refractive index n<sub>2</sub> which is greater than refractive index n<sub>1</sub>, said waveguide film disposed on said first planar surface of said substrate; and
- capture molecules associated with said waveguide film and arranged in a plurality of discrete reaction sites on a surface thereof, each of said capture molecules capable of interacting selectively with at least one type of selected analyte molecule.
- 55. (Amended) The composite waveguide of claim 54, wherein at least one of said discrete reaction sites comprises capture molecules that are specific for a different selected analyte than [the]a selected analyte for which capture molecules of at least another of said [dscirete]discrete reaction sites are specific.

- 56. (Amended) A method for performing a specific binding assay, the method comprising:
- providing a composite waveguide comprising a substrate, a waveguide film secured to said substrate, and a plurality of capture molecules on a surface of said waveguide film, opposite said substrate;
- exposing said capture molecules to a solution including a sample that may comprise molecules of at least one selected analyte;
- adding tracer molecules to said solution, each tracer molecule including a site capable of binding with at least a portion of a complementary capture molecule or at least a portion of said at least one selected analyte, each tracer molecule including a component that emits fluorescent radiation of an emission wavelength when exposed to radiation of an excitation wavelength;

introducing light of said excitation wavelength into said waveguide film; and detecting light of said emission wavelength passing through said substrate.

59. (Amended) The method according to claim 56, wherein said providing said composite waveguide comprises providing a composite waveguide with [said]a substrate comprising a first optical material of refractive index n<sub>1</sub> and [said]a waveguide film comprising a second optical material of refractive index n<sub>2</sub>.